

18334 U.S. PTO  
06/26/03

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**750.00**

| Complete if Known    |               |
|----------------------|---------------|
| Application Number   |               |
| Filing Date          | June 26, 2003 |
| First Named Inventor | ARAI, HIDEO   |
| Examiner Name        |               |
| Art Unit             |               |
| Attorney Docket No.  | 500.30304C14  |

15757 U.S. PTO  
10/603612

06/26/03

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 01-2135

Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP

The Commissioner authorized to: (check all that apply)

☐ Charge fees indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001           | 750             | 2001           | 375             | Utility filing fee     | 750.00   |
| 1002           | 330             | 2002           | 165             | Design filing fee      |          |
| 1003           | 520             | 2003           | 260             | Plant filing fee       |          |
| 1004           | 750             | 2004           | 375             | Reissue filing fee     |          |
| 1005           | 160             | 2005           | 80              | Provisional filing fee |          |

SUBTOTAL (1)

750.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims 14    | -20** = 0    | x 18           | = 0      |
| Indep. Claims 3    | -3** = 0     | x 84           | = 0      |
| Multiple Dependent |              |                | = 0      |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  |
|----------------|-----------------|----------------|-----------------|--|
| 1202           | 18              | 2202           | 9               | Claims in excess of 20                                     |
| 1201           | 84              | 2201           | 42              | Independent claims in excess of 3                          |
| 1203           | 280             | 2203           | 140             | Multiple dependent claim, if not paid                      |
| 1204           | 84              | 2204           | 42              | ** Reissue independent claims over original patent         |
| 1205           | 18              | 2205           | 9               | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 0

\*\*or number previously paid, if greater; For Reissues, see above.

### 3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 1051           | 130             | 2051           | 65              | Surcharge - late filing fee or oath  |          |
| 1052           | 50              | 2052           | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053           | 130             | 1053           | 130             | Non-English specification  |          |
| 1812           | 2,520           | 1812           | 2,520           | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804           | 920*            | 1804           | 920*            | Requesting publication of SIR prior to Examination action                  |          |
| 1805           | 1,840*          | 1805           | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 1251           | 110             | 2251           | 55              | Extension for reply within first month                                     |          |
| 1252           | 410             | 2252           | 205             | Extension for reply within second month                                    |          |
| 1253           | 930             | 2253           | 465             | Extension for reply within third month                                     |          |
| 1254           | 1,450           | 2254           | 725             | Extension for reply within fourth month                                    |          |
| 1255           | 1,970           | 2255           | 985             | Extension for reply within fifth month                                     |          |
| 1401           | 320             | 2401           | 160             | Notice of Appeal   |          |
| 1402           | 320             | 2402           | 160             | Filing a brief in support of an appeal                                     |          |
| 1403           | 280             | 2403           | 140             | Request for oral hearing   |          |
| 1451           | 1,510           | 1451           | 1,510           | Petition to institute a public use proceeding                              |          |
| 1452           | 110             | 2452           | 55              | Petition to revive - unavoidable   |          |
| 1453           | 1,300           | 2453           | 650             | Petition to revive - unintentional   |          |
| 1501           | 1,300           | 2501           | 650             | Utility issue fee (or reissue)   |          |
| 1502           | 470             | 2502           | 235             | Design issue fee   |          |
| 1503           | 630             | 2503           | 315             | Plant issue fee  |          |
| 1406           | 130             | 1460           | 130             | Petitions to the Commissioner  |          |
| 1807           | 50              | 1807           | 50              | Processing fee under 37 CFR 1.17(q)  |          |
| 1806           | 180             | 1806           | 180             | Submission of Information Disclosure Stmt                                  |          |
| 8021           | 40              | 8021           | 40              | Recording each patent assignment per property (times number of properties) |          |
| 1809           | 750             | 2809           | 375             | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810           | 750             | 2810           | 375             | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801           | 750             | 2801           | 375             | Request for Continued Examination (RCE)                                    |          |
| 1802           | 900             | 1802           | 900             | Request for expedited examination of a design application                  |          |

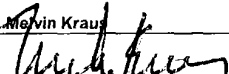
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**0**

## SUBMITTED BY

## Complete (if applicable)

|                   |   |                                   |               |           |                |
|-------------------|---|-----------------------------------|---------------|-----------|----------------|
| Name (Print/Type) | Merlin Kraus  | Registration No. (Attorney/Agent) | 22,466        | Telephone | (703) 312-6600 |
| Signature         |  | Date                              | June 26, 2003 |           |                |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Please type a plus sign (+) inside this box →



PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |  |  |  |
|---|--|--|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> |  | Attorney Docket No. <b>500.30304C14</b>  |  |
|   |  | First Inventor <b>ARAI, HIDEO</b>  |  |
|   |  | Title <b>DIGITAL TRANSMISSION SIGNAL PROCESSING SYSTEM<br/>AND RECORDING/ REPRODUCING SYSTEM</b> |  |
|   |  | Express Mail Label No.   |  |

|  |   |
|--|---|
| <b>APPLICATION ELEMENTS</b><br><br><b>SEE MPEP chapter 600 concerning utility patent application contents.</b> | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |
|--|---|

| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><small>(Submit an original and a duplicate for fee processing)</small><br><br>2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.<br><br>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages: <b>53</b>]</span><br><small>(preferred arrangement set forth below)</small><br>-Descriptive title of the invention<br>-Cross Reference to Related Applications<br>-Statement Regarding Fed sponsored R & D<br>-Reference to sequence listing, a table,<br>or a computer program listing appendix<br>-Background of the invention<br>-Brief Summary of the Invention<br>-Brief Description of the Drawings (if filed)<br>-Detailed Description<br>-Claim(s)<br>-Abstract of the Disclosure<br><br>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Pages: <b>18</b>]</span><br><br>5. Oath or Declaration <span style="float: right;">[Total Pages: <b>2</b>]</span><br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br><small>(for continuation/divisional with Box 18 completed)</small><br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s)<br>Named in the prior application, see 37 CFR<br>1.63(d)(2) and 1.33(b)<br><br>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)<br>8. Nucleotide and/or Amino Acid Sequence Submission<br><small>(if applicable, all necessary)</small><br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies<br><br><table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: center;">ACCOMPANYING APPLICATION PARTS</th> </tr> <tr> <td style="width: 50%; vertical-align: top;">           9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents(s))<br/>           10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br/> <small>(when there is an assignee)</small><br/>           11. <input type="checkbox"/> English Translation Document (if applicable)<br/>           12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations<br/>           Statement (IDS)/PTO-1449<br/>           13. <input type="checkbox"/> Preliminary Amendment<br/>           14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/> <small>(Should be specifically itemized)</small><br/>           15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br/> <small>(if foreign priority is claimed)</small><br/>           16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br/>           (b)(2)(B)(i). Applicant must attach form PTO/SB/35<br/>           or its equivalent.         </td> <td style="width: 50%; vertical-align: top;">           17. <input checked="" type="checkbox"/> Other: <b>Reaffirmation of Claim for Priority</b> </td> </tr></table> | ACCOMPANYING APPLICATION PARTS |  | 9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))<br>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><small>(when there is an assignee)</small><br>11. <input type="checkbox"/> English Translation Document (if applicable)<br>12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations<br>Statement (IDS)/PTO-1449<br>13. <input type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><small>(Should be specifically itemized)</small><br>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small><br>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br>(b)(2)(B)(i). Applicant must attach form PTO/SB/35<br>or its equivalent. | 17. <input checked="" type="checkbox"/> Other: <b>Reaffirmation of Claim for Priority</b> |
|--|---|--------------------------------|--|---|---|
| ACCOMPANYING APPLICATION PARTS   |   |                                |  |   |   |
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))<br>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><small>(when there is an assignee)</small><br>11. <input type="checkbox"/> English Translation Document (if applicable)<br>12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations<br>Statement (IDS)/PTO-1449<br>13. <input type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><small>(Should be specifically itemized)</small><br>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small><br>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br>(b)(2)(B)(i). Applicant must attach form PTO/SB/35<br>or its equivalent.  | 17. <input checked="" type="checkbox"/> Other: <b>Reaffirmation of Claim for Priority</b>   |                                |  |   |   |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application No.: 10/277,830

Prior application information: Examiner: **I. Dinh**    Group Art Unit: **2653**

For CONTINUING OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

| 19. CORRESPONDENCE ADDRESS  |                                   |  |               |
|---|-----------------------------------|--|---------------|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | 020457                            | or <input type="checkbox"/> Correspondence address below |               |
| <small>(Insert Customer No. or Attach bar code label here)</small>    |                                   |  |               |
| Name <b>ANTONELLI, TERRY, STOUT &amp; KRAUS, LLP</b>                  |                                   |  |               |
| Address   |                                   |  |               |
| City  | State                             | Zip Code   |               |
| Country   | Telephone                         | Fax  |               |
|   | <b>(703) 312-6600</b>             | <b>(703) 312-6666</b>                                    |               |
| Name  | Registration No. (Attorney/Agent) |  |               |
| <b>Melvin Kraus</b>   |                                   |  | <b>22,466</b> |
| Signature <i>Melvin Kraus</i>   | Date                              | <b>JUNE 26, 2003</b>                                     |               |

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.